Reprint Series 3 July 1992, Volume 257, pp. 68–69



Chemiluminescence of Anodized and Etched Silicon: Evidence for a Luminescent Siloxene-Like Layer on Porous Silicon

Paul McCord, Shueh-Lin Yau, and Allen J. Bard*

Chemiluminescence of Anodized and Etched Silicon: Evidence for a Luminescent Siloxene-Like Layer on Porous Silicon

Paul McCord, Shueh-Lin Yau, Allen J. Bard*

Treatment of anodized or chemically etched silicon ("porous silicon") with dilute nitric acid or persulfate solution results in weak chemiluminescence in the visible region. Concentrated nitric acid reacts violently with porous Si produced by anodization with a bright flash of light. The fact that similar reactions occur with siloxene ($\mathrm{Si_6H_6O_3}$) prepared from $\mathrm{CaSi_2}$ suggests that the visible emission seen with porous Si can be attributed to this substance.

Recent interest in porous Si and very small Si particles largely arises from the photoemission in the visible region observed with these materials, indicating a radiative transition well above the indirect band gap of bulk crystalline Si (1-7). This effect has been attributed to the presence of nanometer-size Si structures (Q-particles and quantum dots or wires) in which quantumsize effects (1, 2) occur. However, an alternative explanation for this emission is the alteration of the composition of the Si upon anodization or etching which produces new Si-based compounds that luminesce at visible wavelengths. For example, recent work by Brandt et al. (6) has demonstrated that the photoluminescence and vibrational spectra of porous Si can be attributed to siloxene derivatives. In this report we show that treatment of porous Si with nitric acid or persulfate can result in chemiluminescence, as occurs with siloxene prepared from CaSi2. These results strongly suggest that the luminescence of porous Si is attributable mainly to formation of siloxene-like compounds.

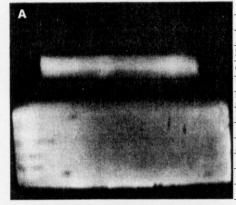
Porous Si was prepared by two different methods. In the first, single-crystal pol-

ished *p*-type Si (100) wafers (1 ohm-cm) were anodized in a solution of hydrofluoric acid (HF), acetic acid (CH₃COOH), and ethanol (1:1:1), as previously described (8). In the second, the Si wafer was chemically etched with a solution of HF, HNO₃, CH₃COOH, and H₂O (1:2:1:4) for 5 to 10 min (7). The resulting porous Si, formed by either method, ranged from a dull yellowish-brown to a darker brown in color and showed the characteristic bright orange to red luminescence when illuminated with an ultraviolet (UV) source (Mineralight UVGL-25).

When a piece of porous Si was immersed in an ~4 M HNO₃ solution, a weak chemiluminescence was observed that could be imaged with a charge-coupled device (CCD) camera (Model CH210, Photometrics, Tucson, Arizona) cooled to -113°C (Fig. 1A). Porous Si in contact with HNO3 vapor also produced chemiluminescence. Figure 1B is an image of the same piece of porous Si as shown in Fig. 1A but with the sample suspended ~0.5 cm above the HNO3. This chemiluminescence probably arises from the reaction between the porous Si and the NO2 gas present in the vapor phase. Chemiluminescence generated in the vapor phase was passed through a monochromator (Model

100S, American Holographic, Littleton, Massachusetts) and the resulting spectrum (imaged with the CCD) (Fig. 2) also shows the photoluminescence of the same piece of porous Si in air illuminated by a hand-held UV lamp (370-nm excitation).

The chemiluminescence obtained from the HNO₃ solution slowly decayed to about one-half of its original brightness in 10 min. Note that the chemiluminescence in either the liquid or gas phase was very weak and was not visible to the eye in the



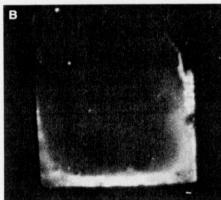


Fig. 1. (**A**) Porous Si immersed in an ~4 M HNO₃ solution. (**B**) Porous Si in the vapor phase above a concentrated HNO₃ solution.

Department of Chemistry and Biochemistry, the University of Texas at Austin, Austin, TX 78712.

SCIENCE • VOL 257 • 3 IULY 1992

dark. Similar chemiluminescence was observed when the porous Si was immersed in a solution of ammonium peroxydisulfate, with an intensity about one-half of that found with the HNO₃ solution. After the chemiluminescence in either of these solutions had decayed to imperceptible levels, photoluminescence of the porous Si surface also disappeared.

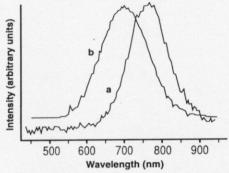


Fig. 2. Spectra of porous Si during chemiluminescence (a) and photoluminescence (b). Chemiluminescence was from vapor phase above concentrated HNO₃. Photoluminescence of porous Si in air excited with a handheld UV lamp (~370 nm).

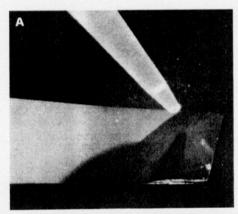




Fig. 3. (**A**) Pipette tip containing concentrated HNO₃ above porous Si sample. (**B**) Exposure during the application of a second drop of concentrated HNO₃. White residue from the first drop can be seen in the background.

A more dramatic effect was seen when concentrated HNO3 was dropped on the surface of dry porous Si. One drop (~0.5 ml) of concentrated HNO3 on the surface caused a flash of light with an audible pop. This flash was easily seen, even in a well-lit room. After the flash, a white residue remained on the surface. A photograph before the application of any HNO3 is shown in Fig. 3A. The tip of the pipette containing HNO3 is directly above the horizontal porous Si sample. Figure 3B is an image of the same arrangement as in Fig. 3A, except that the pipette has been moved slightly down and to the left of its previous position. Figure 3B was taken during the application of a second drop of concentrated HNO3 and shows the flash from the reaction. The white residue from the first drop can be seen in the background (where the pipette was located in Fig. 3A). Concentrated H2SO4 did not induce this reaction or any detectable chemiluminescence. Only the porous Si produced by the anodization method showed this violent reaction. Porous Si produced by chemical etching showed chemiluminescence and photoluminescence but at a lower intensity than that found with the anodized Si. Note also that the Si wafer weakly chemiluminescenced during chemical etching in the HF-HNO3 etchant.

Some other properties of the porous silicon are also of interest. A piece of porous Si immersed in an alkaline solution (1 M NaOH) immediately evolved gaseous hydrogen. The surface of porous Si could be scraped off of the treated wafer to yield an insoluble yellow powder that photoluminesces. Porous Si profiled by atomic force microscopy (AFM) revealed surface features of the order of 400 to 600 Å, which is about one order of magnitude larger than that expected for quantum effects (7).

These reactions of porous Si can be compared to those of siloxene, a substance first obtained by Wöhler (9) by treating CaSi, with concentrated HCl. A number of investigations of this material have been reported (9-14). Siloxene (empirical formula Si₆H₆O₃) has been described (10) as consisting of Si layers with substituent -H or -OH groups (Fig. 4). A more disordered structure with O-substitution within the Si layer and oxygen cross-linking between the layers has also been proposed (11). This material is known to chemiluminesce upon treatment with strong oxidants (12) and to evolve H2 and turn white when exposed to sunlight (13). Treatment of siloxene with fuming HNO, produces incandescence, but concentrated H,SO₄ has no effect (13). On heating siloxene in air, it bursts into flame (9).

In order to confirm the similarity of

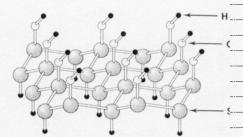


Fig. 4. Proposed structure (10) for siloxendepicting a layer of hexagonal silicon rings with H and -OH groups as substituents.

siloxene to that of porous Si, this materia was synthesized from CaSi, (Johnson & Matthey, Ward Hill, Massachusetts) by treatment with dilute HCl (12). The siloxene obtained was a greenish-yellow powdethat showed a yellow luminescence when irradiated with a UV mineral light. Under microscope this powder revealed small platelets similar to those observed on the surface of porous Si. Reaction of ~100 m of this siloxene with a drop of concentrated HNO3 caused a bright flash and sparks When the siloxene was stirred into a dilut-HNO3 solution, the entire solution luminesced a bright orange color. After the siloxene was exposed to air for 2 days, these effects were less pronounced.

It is unlikely that Si alone, even in fineldivided form, would undergo the chemiluminescent reactions shown here. Rather they suggest that "porous Si" consists, a least in part, of a siloxene layer produced banodic or chemical etching.

REFERENCES AND NOTES

- L. T. Canham, Appl. Phys. Lett. 57, 1046 (1990)
 V. Lehmann and U. Gösele, ibid. 58, 856 (1991)
- 3. I. Amato, Science 252, 922 (1991)
- J. L. Heinrich, C. L. Curtis, G. M. Credo, K. L. Kavanagh, M. J. Sailor, *ibid.* 255, 66 (1992).
- H. Takagi, H. Ogawa, Y. Yamazaki, A. Ishizaki, Nakagiri, Appl. Phys. Lett. 56, 2379 (1990).
- Nakagiri, *Appl. Phys. Lett.* **36**, 2379 (1990).

 6. I. Amato, *Science* **254**, 1730 (1991); M. S. Brand-H. D. Fuchs, M. Stuzmann, J. Weber, M. Cardona *Solid State Commun.* **81**, 307 (1992).
- 7. J. Sarathy et al., Appl. Phys. Lett. 60, 1532 (1992-
- 8. C. Tsai et al., ibid. 59, 2814 (1991).
- 9. F. Wöhler, Liebigs Ann. Chem. 127, 256 (1863). 10. A. Weiss, G. Beil, H. Meyer, Z. Naturforsch. 35E-
- 25 (1980).11. I. Hirabayashi, K. Morigaki, S. Yamanaka, *J. Phys. Soc. Jpn.* 52, 671 (1983).
- 12. F. Kenny and R. B. Kurtz, *Anal. Chem.* 22, 69
- (1950).13. O. Honigschmid, *Monatsh. Chem.* 30, 509 (1909-
- H. Kautsky, Z. Anorg. Allg. Chem. 117, 20 (1921); Z. Naturforsch. 7b, 174 (1951); Kolloid-a
- 15. The support of this research by grants from th National Science Foundation and the Office of Naval Research is gratefully acknowledged. We thank F. Zhou for his assistance. We also thank of Tsai, J. Sarathy, and J. C. Campbell of the Microelectronics Research Center of the University of Texas at Austin for the generous donation of the wafers.
 - 21 February 1992; accepted 15 May 1992